



Audit & Standards Committee Work Programme 2013/14 (June 2013 – March 2014)

Appendix 1

Category A = Statutory or other implied requirement
 Category B = Topics decided by the Committee
 Category C = Other
 Category D = Training & Awareness

Meeting Date	Agenda Item	Category	Decision Item Yes/No	Lead Officer/s	
25th June 2013	Complaints Update	C	No	Brian Foley	
	Audit and Standards Committee Work Programme 2013/14	C	Yes	Ian Withers	
	Targeted Budget Management (TBM) Provisional Outturn 2012/13	B	No	Nigel Manvell	
	Unaudited Statement of Accounts 2012/13	A	Yes	Nigel Manvell & Jane Strudwick	
	Review of the Effectiveness of Internal Audit 2013	A	No	Ian Withers	
	Draft Annual Governance Statement 2012/13	A	Yes	Ian Withers	
	Ernst & Young: Progress Report 2013/14	A	No	Helen Thompson and/or Simon Mathers	
	Ernst & Young: Local Government Sector Update Report	C	No	Helen Thompson and/or Simon Mathers	
	Audit & Standards Committee Annual Report 2012/13	A	Yes	Ian Withers	
	Internal Audit Annual Report and Opinion 2012/13	A	No	Ian Withers	
	Strategic Risk Register Update	A	No	Jackie Algar	
	Strategic Risk Map Focus - SR2 Financial Outlook - SR11 Welfare Reform	A	No	Jackie Algar	
	24th September 2013	Complaints Update	C	No	Brian Foley
		Review of Code of Conduct Training	C	No	Oliver Dixon
Ernst & Young: Progress Report 2013/14		A	No	Helen Thompson and/or Simon Mathers	



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	Ernst & Young: Audit Results Report 2012/13	A	Yes	Helen Thompson and/or Simon Mathers
	Statement of Accounts 2012/13	A	Yes	Nigel Manvell & Jane Strudwick
	Targeted Budget Management (TBM) 2013/14 Month 2	B	No	Nigel Manvell
	Local Audit & Accountability Bill	D	No	Ian Withers and Oliver Dixon
	Internal Audit Progress Report 2013/14	A	No	Ian Withers
	Strategic Risk Map Focus - SR12 Maintaining Seafront as an asset to the city - SR14 Pay & Allowances Modernisation	A	No	Jackie Algar
19th November 2013	Complaints Update	C	No	Brian Foley
	Review of Audit & Standards Committee	C	Yes	Ian Withers
	Ernst & Young: Progress Report 2013/14	A	No	Helen Thompson and/or Simon Mathers
	Ernst & Young: Annual Audit Letter 2012/13	A	No	Nigel Manvell
	Corporate Fraud Update and Risks	D	No	Tony Barnard
	HR/Payroll System Update	B	No	Sue Moorman
	Internal Audit Progress Report 2013/14	A	No	Ian Withers
	Targeted Budget Management (TBM) 2013/14 Month 5	B	No	Nigel Manvell
	Strategic Risk Register Review	A	No	Jackie Algar



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	Strategic Risk Map Focus Strategic Risk Map Focus - SR 4 Economic Resilience and Sustainable Economic Growth - SR8 Becoming a more sustainable city	A	No	Jackie Algar
21st January 2014	Complaints Update	C	No	Brian Foley
	Amendments to standards related provisions in Constitution	C	No	Oliver Dixon
	Annual Governance Statement 2012/13 - Action Plan Progress Update	A	No	Ian Withers
	Treasury Management Policy Statement 2013/14 (including Annual Investment Strategy 2013/14) – Mid year review	A	No	Mark Ireland
	Ernst & Young: Annual Certification Report 2012/13	A	No	Helen Thompson and/or Simon Mathers
	Ernst & Young: Progress Report 2013/14	A	No	Helen Thompson and/or Simon Mathers
	Internal Audit Progress Report 2013/14	A	No	Ian Withers
	Targeted Budget Management (TBM) Month 7	B	No	Nigel Manvell
	Strategic Risk Map Focus - SR13 Keeping vulnerable adults safe from harm and abuse - SR15 Keeping children safe from harm and abuse - SR10 Information Governance Management (or could slip to March 14, depending on if any new risks at Nov 13 SRR review	A	No	Jackie Algar



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25 th March 2014	Complaints Update	C	No	Brian Foley
	Ernst & Young: External Audit Plan 2013/14	A	No	Helen Thompson and/or Simon Mathers
	Ernst & Young: Audit Fee Letter 2014/15	A	No	Helen Thompson and/or Simon Mathers
	Letter from the Chair of the Audit & Standards Committee re: B&HCC – Risk of material misstatement of the 2013/14 accounts due to fraud	A	No	Ian Withers
	Internal Audit Strategy and Annual Plan 2014/15	A	No	Ian Withers
	Risk Management Strategy 2014	B	No	Jackie Algar
	Strategic Risk Register Update	A	No	Jackie Algar
	Strategic Risk Map Focus - SR10 Information Governance Management	A	No	Jackie Algar